

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tu et al. Group Art Unit: Unknown Serial No. 10/733,216 Examiner: Unknown Filed: December 11/2003 For: METHOD AND STRUCTURE IMPROVING ISOLATION BETWEEN MEMORY CELL PASSING GATE AND CAPACITOR

INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

This Information Disclosure Statement is being filed within three months of the United States filing date or before the mailing date of a first Office Action on the merits. No certification or fee is required (37 CFR §1.97(b)).

The Commissioner is hereby authorized to charge any additional fees, which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Date: _1-21-04 HAYNES AND BOONE, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202-3789 Telephone: 972-739-8635 Facsimile: 214-200-0853

File: 24061.46

Respectfully submitted, David M. O'Dell Registration No. 42,044

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner For Patents, P.O. Box 1450,

Bonnie Boyle

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In place of PTO-1449 Form

U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

				Application Number	10/733,216
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	December 11, 2003 Tu et al.
				Applicant(s)	
(use as many sheets as necessary)				Art Unit	Unknown
				Examiner Name	Unknown
HEET	T	OF		Attorney Docket Number	24061.46

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Examiner's Initials	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document
	AA	5,583,077	12/10/96	Wang et al.
	AB	5,702,986	12/30/1997	Matthews et al.
	AC	6,136,688	10/24/00	Lin et al.
	AD	6,221,794	4/24/01	Pangrle et al.
	AE	6,256,248	7/3/01	Leung
	AF	6,287,962	9/11/01	Lin
	AG	6,362,041	3/26/02	Wang et al.
	AH	6,414,376	7/2/02	Thakur et al.
	Al	5,821,139	10/13/98	Tseng
	AJ	6,420,226	7/16/02	Chen et al.
	AK	6,468,855	10/22/02	Leung et al.
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Complete if Known

FOREIGN PATENT DOCUMENTS				
Examiner's Initials	Cite No.	Foreign Patent Document (Country Code – Number – Kind)	Publication Date Patentee or Applicant of Cited Translation Document	

	OTHER PRIOR ART				
Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date; page(s), volume-issue number(s), publisher; city/country where published			
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

R-65027